INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

#3

Docket Number (Optional)	Application Number
3728-109US	09/679,456

Applicant(s)
STEPHEN A. RAGO

Filing Date	Group Art Unit
10/04/2000 Herewith	2162

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
H		5,257,369	10/1993	Skeen, et al.			
H		5,640,559	06/1997	Silberbauer, et al.			
H		5,761,739	06/1998	Elko, et al.			
H		5,768,532	06/1998	Megerian			
H		5,857,195	01/1999	Hayashi, et al.			
H		5,905,987	05/1999	Shutt, et al.			
H		5,950,203	09/1999	Stakuis, et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	NO

OTHER DOCUMENTS   *(Including Author, Title, Date, Pertinent Pages, Etc.)*

MA		[1] Bach, Maurice J. The Design of the Unix Operating System. Prentice-Hall, Englewood Cliffs, NJ. 1986.
		[2] CrosStor Software, Inc. CIFS Extensions for SANs. White Paper, May 10, 1999.

EXAMINER	DATE CONSIDERED
[signature]	8/28/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Docket Number (Optional) 3728-109US	Application Number 09/679 456

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Applicant(s)	STEPHEN A. RAGO	
Filing Date	10/04/2000 Herewith	Group Art Unit 2162

*EXAMINER INITIAL		OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*
⟨init⟩		[3] CrosStor Software, Inc. Adapting NAS Protocols to SANs. White Paper, May 10, 1999.
⟨init⟩		[4] Entropic Research Laboratory, Inc. ESPS/waves+ with EnSig™ Application Notes. Chapter entitled "Non-ESPS Programs and the ESPS File System." Release 5.3, 1998. http://www.ling.ed.ac.uk/help/entropic/
⟨init⟩		[5] NCSA. HDF5 Reference Manual. Release 1.2, October 1999.

EXAMINER Jean M. Corrielus	DATE CONSIDERED 11/06/2006

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

P09B/REV04

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07072-133001	Application No. 09/679,456
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen A. Rago	
		Filing Date October 4, 2000	Group Art Unit 2162

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
MH	AA	5,257,369	10/26/1993	Skeen et al.			
MH	AB	5,640,559	06/17/1997	Silberbauer et al.			
MH	AC	5,761,739	06/02/1998	Elko et al.			
MH	AD	5,768,532	06/16/1998	Megerian			
MH	AE	5,857,195	01/05/1999	Hayashi et al.			
MH	AF	5,905,987	05/18/1999	Shutt et al.			
MH	AG	5,950,203	09/07/1999	Stakuis et al.			
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
MH	AQ	CrosStor Software, Inc., CIFS Extensions for SANs, White Paper, May 10, 1999
MH	AR	CrosStor Software, Inc., Adapting NAS Protocols to SANs, White Paper, May 10, 1999
MH	AS	Entropic Research Laboratory, Inc., ESPS/waves+with EnSig™ Application Notes, Chapter entitled "Non-ESPS Programs and the ESPS File System," Release 5.3, 1998. http://www.ling.ed.ac.uk/help/entropic/
MH	AT	NCSA, HDF5 Reference Manual, Release 1.2, October 1999

Examiner Signature	Date Considered
	8/20/02

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)